Rec'd PCT/PTO 03 JAN 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.

First Named Inventor

10/529,579

Confirmation No.: 7899

Andreas JURETZKA

Filed

: March 30, 2005 : (To Be Assigned)

TC/A.U. Examiner

: (To Be Assigned)

Docket No.

: 095309.56087US

Customer No.

: 23911

Title

: Self-Igniting Internal Combustion Engine

SUBMISSION OF SUBSTITUTE SPECIFICATION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Attached are a Substitute Specification and a marked-up copy of the original specification. I certify that said substitute specification contains no new matter and includes the changes indicated in the marked-up copy of the original specification.

Respectfully submitted,

January 3, 2006

wards

Registration No. 31,824

Dr. Song Zhu

Registration No. 44,420

CROWELL & MORING LLP Intellectual Property Group P.O. Box 14300 Washington, DC 20044-4300 Telephone No.: (202) 624-2500 Facsimile No.: (202) 628-8844

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03 JAN 2006

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TRANSMITTAL LETTER TO THE UNITED STATES

Time under 37 CFR 1.136(a)

ATTORNEY'S DOCKET NUMBER

095309.56087US

| | | SIGNATED/ELECTED OFFICE | | U.S. APPLICATION NO. (If known, see 37 CFR 1.5) | | | | | |
|---|-------------|--|----------------------------|---|-----------------------|--|--|--|--|
| CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 | | | | 10/529,579 | | | | | |
| | | | INTERNATIONAL FILING | | PRIORITY DATE CLAIMED | | | | |
| PCT/EP2003/0010660 TITLE OF INVENTION | | | 09/25 | 5/2003 | 10/01/2002 | | | | |
| Self-Igniting Internal Combustion Engine | | | | | | | | | |
| APPLICANT(S) FOR DO/EO/US | | | | | | | | | |
| Andreas JURETZKA, et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | | | |
| 1. | | This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | |
| 1. 2. | | This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | |
| 3. | | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) | | | | | | | |
| | _ | and (21) indicated below. | | | | | | | |
| 4. | \boxtimes | The US has been elected (Article 31). | | | | | | | |
| 5. | | A copy of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | | | | |
| | | a. is attached hereto (required only if not communicated by the International Bureau). | | | | | | | |
| | | b. has been communicated by the International Bureau. | | | | | | | |
| | | c. Is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | | |
| 6. | | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). | | | | | | | |
| | | a. is attached hereto (fourteen (14) pages). | | | | | | | |
| | | b. has been previously subm | itted under 35 U.S.C. 15 | i4(d)(4). | | | | | |
| 7. | | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) | | | | | | | |
| | | a. are attached hereto (required only if not communicated by the International Bureau). | | | | | | | |
| | | b. have been communicated by the International Bureau. | | | | | | | |
| | | c. have not been made; how | ever, the time limit for m | aking such amendments | s has NOT expired. | | | | |
| | | d. have not been made and will not be made. | | | | | | | |
| 8. | | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | | |
| 9. | \boxtimes | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)) (two (2) pages). | | | | | | | |
| 10. | | An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | | | |
| Items 11 to 20 below concern document(s) or information included: | | | | | | | | | |
| 11. | | An Information Disclosure Statement | | | | | | | |
| 12. | | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. | | | | | | | |
| 13. | \boxtimes | A preliminary amendment (seven (7) pages). | | | | | | | |
| 14. | | An Application Data Sheet under 37 CFR 1.76. | | | | | | | |
| 15. | \boxtimes | A substitute specification (clean & marked-up version). | | | | | | | |
| 16. | | A power of attorney and/or change of address letter. | | | | | | | |
| 17. | | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. | | | | | | | |
| 18. | . \square | A second copy of the published International Application under 35 U.S.C. 154(d)(4). | | | | | | | |
| 19. | | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | | | | | | |
| 20. | \boxtimes | Other items or information: Copy of the Notification of Missing requirements and a Two-Month Petition for Extension of | | | | | | | |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



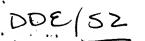
03 JAN 2006

PTO-1390 (Rev. 02-2005)

Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER PCT/EP2003/0010660 095309.56087US PTO USE ONLY The following fees have been submitted CALCULATIONS Basic national fee (37 C.F.R. §1.492(a))\$300.00 21. \$ Examination fee (37 C.F.R. §1.492(c)) 22. If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy \$ All other situations..... Search fee (37 C.F.R. §1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority......\$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB\$400 \$ All other situations...... TOTAL OF 21, 22 AND 23 = \$ Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Number of each additional 50 or fraction RATE **Total Sheets** Extra Sheets thereof (round up to a whole number) x 250 \$ / 50 = - 100 Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest \$ claimed priority date (37 CFR 1.492(h)) NUMBER FILED NUMBER EXTRA RATE **CLAIMS** 50 \$ Total claims - 20 x 200 \$ Independent claims - 3 MULTIPLE DEPENDENT CLAIM(S) (if applicable) \$ \$0.00 **TOTAL OF ABOVE CALCULATIONS =** Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. \$0.00 SUBTOTAL = Processing fee of \$130.00 for furnishing the English translation later than 30 months from the \$ earliest claimed priority date (37 CFR 1.492(i)). **TOTAL NATIONAL FEE =** \$0.00 Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property ... **TOTAL FEES ENCLOSED =** \$0.00 Amount to be refunded: Amount to be charged: A check in the amount of \$ to cover the above fees is enclosed. Please charge my Deposit Account No. 05-1323 (Attorney Docket No. 095309.56087US) in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit \boxtimes Account No. 05-1323 (Attorney Docket No. 095309.56087US). A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be Included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: Crowell & Moring, LLP Intellectual Property Group P.O. Box 14300 Garv R. Edv ds / Song Zhu Washington, D.C. 20044-4300 31,824/ 44,420 Tel. No. (202) 624-2500 REGISTRATION NUMBER Fax No. (202) 628-8844 January 3, 2006

DATE





United States Patent and Trademark Office



UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEPARTMENT OF COMMI United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | ATTY. DOCKET NO. |
|-----------------------------|-----------------------|------------------|
| 10/529,579 | Andreas Juretzka | 095309.56087US |

INTERNATIONAL APPLICATION NO.

PCT/EP03/10660

23911 **CROWELL & MORING LLP** INTELLECTUAL PROPERTY GROUP P.O. BOX 14300 WASHINGTON, DC 20044-4300

I.A. FILING DATE PRIORITY DATE 09/25/2003 10/01/2002

CONFIRMATION NO. 7899 371 FORMALITIES LETTER

OC00000016860097

B

Date Mailed: 09/02/2005

Miss. Regume duci. 11.205

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 03/30/2005
- English Translation of the IA filed on 03/30/2005
- Copy of the International Search Report filed on 03/30/2005
- Copy of IPE Report filed on 03/30/2005
- Preliminary Amendments filed on 03/30/2005
- Information Disclosure Statements filed on 03/30/2005
- Copy of references cited in ISR filed on 03/30/2005
- U.S. Basic National Fees filed on 03/30/2005
- Priority Documents filed on 03/30/2005

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)





A copy of this notice **MUST** be returned with the response.

MAMIE P PERSON

Telephone: (703) 308-9140 EXT 227

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/529,579 | PCT/EP03/10660 | 095309.56087US |

FORM PCT/DO/EO/905 (371 Formalities Notice)